

Taylor Edwards Financial Managements Complaints Procedure

Outlined below is a guide of how your complaint should be handled, along with ways of which you can make a complaint. A copy of our complaints procedure shall be supplied upon receipt of any complaint and is fully accessible on our website.

It is always our intention that every client journey with Taylor Edwards Financial Management is a positive one and that there is no cause to complain about any aspect of our service. Should you believe there is reasonable cause to complain, then the following process documents the steps we shall follow whilst dealing with your concerns.

1. Methods – A complaint may be submitted in the following ways; in writing, by email to complaints@tefm.co.uk, by telephone on 0161 233 6100 or in any other form, in respect of the claims management service that we have provided and that is regulated under the Compensation Act 2006.

2. Process

A) Acknowledgement

We aim to resolve any expression of dissatisfaction as soon as possible, where this is in done within 3 business days, we will not usually confirm acknowledgement of the complaint in writing.

If it takes us longer than 3 business days to resolve your complaint, we will send you a written or electronic acknowledgement of a complaint within five business days of receipt, identifying the person who will be handling the complaint for the business.

B) Investigation

Wherever possible, the person handling your complaint will not have been directly involved in the matter which is the subject of the complaint, and will have authority to settle the complaint.

Within four weeks of receiving a complaint, we will send you either:

- ☐ i. final response adequately addressing the complaint; or
- ☐ ii. a holding response, which explains why we are not yet in a position to resolve the complaint and indicates when we will make further contact with you.

C) Our Decision

Within eight weeks of receiving a complaint we will send you either:

- i. a final response adequately addressing the complaint and outlining your right to appeal with the Claims Management Ombudsman (CMO); or
- ii. a response which:
 - a) explains why we are still not in a position to make a final response, giving reasons for the further delay and indicating when we expect to be able to provide a final response; and
 - b) informs you that you may refer the handling of the complaint to the Claims Management Ombudsman (CMO) if you are dissatisfied with the delay.

Where we decide that redress is appropriate, we will provide you with fair compensation for any acts or omissions for which we are responsible and will comply with any offer of redress that you accept. Appropriate redress will not always involve financial redress, but could involve an apology or another suitable form of redress.

D) Appeals

If you are not satisfied with our decision regarding a complaint about us and you have allowed us the relevant timeframes to respond to you, you can appeal this with the Claims Management Ombudsman (CMO) at:

Claims Management Ombudsman

Exchange Tower

London

E14 9SR

Tel: 0800 023 4567

Web: <https://cmc.financial-ombudsman.org.uk/>